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Lisa Kaiser (Signature)  
Lisa Kaiser  
Signature Date: April 30, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                   |   |                           |
|-------------------|---|---------------------------|
| In re Application | ) | <u>PATENT APPLICATION</u> |
| Inventor(s):      | ) |                           |
|                   | ) | Roger Williams            |
|                   | ) | Christian Ried            |
|                   | ) | Edward H. Walker          |
|                   | ) | Len Stephens              |
| SC/Serial No.:    | ) |                           |
|                   | ) | 09/974,573                |
| Filed:            | ) |                           |
|                   | ) | October 9, 2001           |
| Title:            | ) |                           |
|                   | ) | Phosphoinositide 3-Kinase |

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application --Filing Date Granted, dated November 8, 2001, enclosed are the following documents in connection with the above-identified application:

[X] Copy of Notice to File Missing Parts -- Filing Date Granted.

Attorney Docket No.: ONYX1048ORD  
ONYX1048ORD/Amendments/1048-Resp-MP

05/07/2002 SDEHOB1 00000048 05074573  
02 FC:110 1440.00 CH

- ☒ Petition to Correct Inventorship.
- ☒ Declaration of Inventors.
- ☒ Declaration for Patent Application and Power of Attorney.

Total Fee

The total fee associated with this communication has been calculated as shown below:

|   |                                    |
|---|------------------------------------|
| <input checked="" type="checkbox"/> Net fee for extension of time   | \$ 1,440.00 (Four Month Extension) |
| <input checked="" type="checkbox"/> Surcharge under 37 C.F.R. § 1.16(e)<br>for late filing of oath or<br>declaration: |                                    |
| <input checked="" type="checkbox"/> Large Entity  | 130.00                             |
| <b>TOTAL FEE DUE:</b>   | <u>\$ 1,570.00</u>                 |

Method of Payment of Fees

- ☐ A check in the amount of the TOTAL FEE DUE is enclosed.
- ☒ The Commissioner is hereby authorized to charge all fees associated with this communication, for a large entity, to Deposit Account No. 15-0615. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Dated: 4/26/02

By: Gregory Giotta  
Gregory Giotta  
Reg. No. 32,028

ONYX Pharmaceuticals, Inc.  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/974,573         | 10/09/2001          | Roger Williams        | ONYX1048.ORD           |

CONFIRMATION NO. 8292

## FORMALITIES LETTER



\*OC000000007042651\*

Gregory Giotta, Ph.D.  
Vice President and Chief Legal Counsel  
ONYX Pharmaceuticals, Inc.  
3031 Research Drive  
Richmond, CA 94806



Date Mailed: 11/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

05/07/2002 SDENB081 00000048 150615 09974573

01 FC:105

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- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

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*A copy of this notice **MUST** be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE